

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Andrew M. Spencer

Confirmation No.:

Application No.:

Examiner:

Filing Date: Herewith

Group Art Unit: Unkn.

Title: REMOVABLE INFORMATION STORAGE DEVICE THAT INCLUDES A MASTER  
ENCIPHERMENT KEY AND ENCIPHERMENT KEYS

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before  
mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00 . At any time during the  
pendency of this application, please charge any fees required or credit any overpayment to Deposit  
Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with  
any required copies of patents, publications or other information of which applicant(s) are aware, which  
applicant(s) believe(s) may be material to the examination of this application and for which there may be  
a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications  
and other foreign language information listed on PTO Form 1449, as presently understood by the  
individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the  
attached sheet, or where a foreign language patent is cited in a search report or other action by a  
foreign patent office in a counterpart foreign application, an English language version of the search  
report or action which indicates the degree of relevance found by the foreign office is listed on form PTO  
1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EV310988318US

Date of Deposit Oct. 20, 2003

I hereby certify that this is being deposited with the  
United States Postal Service "Express Mail Post  
Office to Addressee" service under 37 CFR 1.10 on  
the date indicated above and is addressed to:  
Commissioner for Patents, Alexandria, VA  
22313-1450.

By

Typed Name: John A. Parker

Respectfully submitted,

Andrew M. Spencer

By Steven E. Dicke

Steven E. Dicke

Attorney/Agent for Applicant(s)  
Reg. No. 38,431

Date: Oct. 20, 2003

## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10013891-1

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Andrew M. Spencer

FILING DATE

H r with

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	4,731,840	Mar. 15, 1998	Mniszewski et al.	
	1B	5,237,611	Aug. 17, 1993	Rasmussen et al.	
	1C	5,592,549	Jan. 7, 1997	Nagel et al.	
	1D	5,623,637	Apr. 22, 1997	Jones et al.	
	1E	5,708,715	Jan. 13, 1998	Vicard et al.	
	1F	6,014,745	Jan. 11, 2000	Ashe et al.	
	1G	6,175,924	Jan. 16, 2001	Arnold	
	1H	6,209,098	Mar. 27, 2001	Davis	
	1I	6,282,651	Aug. 28, 2001	Ashe	
	1J	6,347,145	Feb. 12, 2002	Kato et al.	
	1K	6,438,550	Aug. 20, 2002	Doyle et al.	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED